



PTO/SB/08 Equivalent

SUPPLEMENTAL INFORMATION
DISCLOSURE

STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 1 OF 2

Application No.	10/678,744
Filing Date	October 2, 2003
First Named Inventor	Alan E. Shluzas et al.
Art Unit	3733
Examiner	James L. Swiger III
Attorney Docket No.	ENDIUS.013A

U.S. PATENT DOCUMENTS

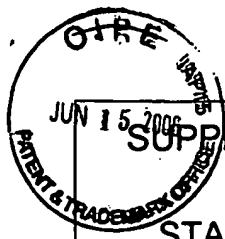
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
JLS	1	151,228	05/26/1874	Knaffl	
	2	530,728	12/11/1894	Sherbrook	
	3	2,083,573	06/15/1937	Morgan	
	4	2,313,164	03/09/1943	Nelson	
	5	2,594,086	04/22/1952	Smith	
	6	2,623,517	12/30/1952	Barlow, et al.	
	7	3,070,088	12/25/1962	Brahos	
	8	3,509,873	05/05/1970	Karlin, et al.	
	9	3,749,088	07/31/1973	Kohlmann	
	10	3,998,217	12/21/1976	Trumbull, et al.	
	11	4,010,741	03/08/1977	Gauthier	
	12	4,130,113	12/19/1978	Graham	
	13	41,55,355	05/22/1979	Yamamoto	
	14	4,254,763	03/10/1981	McCready, et al.	
	15	4,421,107	12/20/1983	Estes, et al.	
	16	5,345,927	09/13/1994	Bonutti	
	17	5,400,774	03/28/1995	Villalta, et al.	
	18	5,505,690	04/09/1996	Patton, et al.	
	19	5,667,481	09/16/1997	Villalta, et al.	
	20	5,667,520	09/16/1997	Bonutti, et al.	
	21	5,681,265	10/18/1997	Maeda, et al.	
	22	5,688,223	11/18/1997	Rosendahl	
	23	5,728,046	03/17/1998	Mayer, et al.	
	24	5,868,668	02/09/1999	Weiss	
	25	6,024,697	02/15/2000	Pisarik	
	26	6,083,154	07/04/2000	Liu, et al.	
	27	6,096,046	08/01/2000	Weiss	
	28	6,206,826 B1	03/27/2001	Mathews, et al.	
JLS	29	6,464,634	10/15/2002	Fraser	

Examiner Signature /James L Swiger/ (07/23/2006)

Date Considered 07/23/2006

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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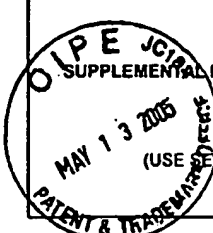
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Multiple sheets used when necessary) SHEET 2 OF 2	Application No.	10/678,744
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JLS	30	6,616,605	09/09/2003	Wright, et al.	
JLS	31	2003/0097045 A1	05/22/2003	Kashyap	
JLS	32	7,014,608	03/21/2006	Larson et al.	

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ENDIUS.013A	APPLICATION NO. 10/678744
 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Shluzas, et al.	
		FILING DATE October 2, 2003	GROUP 3732

U.S. PATENT DOCUMENTS							
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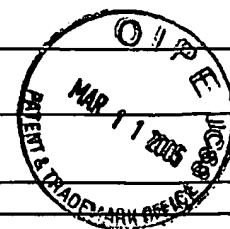
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JLS		0807415a	11/19/97	EP				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
JLS	Partial International Search dated January 27, 2005 for International Application No. PCT/US2004/027761, filed 8/26/2004.

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EXAMINER	/James L Swiger/ (07/23/2006)	DATE CONSIDERED	07/23/2006
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.			

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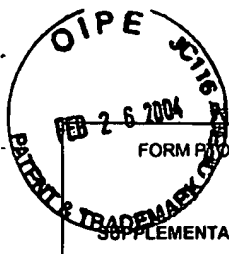
U.S. PATENT DOCUMENTS							
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JLS		2003/073998	4/17/03	Unger et al.	/	/	/
		2004/181231	9/16/04	Emstad et al.			
		2004/0098016	5/20/04	Bonutti			
		6,596,008	7/22/03	Kambin			
JLS		6,364,832	4/2/02	Propp			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)						

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U.S. PATENT DOCUMENTS							
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JLS	1	3,965,890	6/29/76	Gauthier	128	20	
	2	5,231,974	8/3/93	Giglio et al.	128	20	
	3	5,795,291	8/18/98	Koros et al.	600	232	
	4	5,944,658	8/31/99	Koros et al.	600	232	
	5	6,139,493	10/31/00	Koros et al.	600	215	
	6	6,354,995 B1	3/12/02	Hofman et al.	600	219	
	7	6,361,488	3/02	Davison et al.	600	102	
	8	6,530,880	3/03	Pagliuca	600	102	
	9	6,530,926	3/03	Davison	606	61	
	10	6,652,553	11/03	Davison et al.	606	190	
	11	US 2003/0187431 A1	10/02/03	Simonson	606	53	
	12	US 2003/0083688 A1	05/01/03	Simonson	606	191	
JLS	13	US 2003/0083689 A1	05/01/03	Simonson	606	191	

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
JLS		WO 2004/012617 A2	2/12/04	WIPO	A61B	19/00		

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
JLS	14	Co-pending U.S. Application No. 10/440,231, filed 5/16/03, titled Cannula for receiving surgical instruments, and assigned to the assignee of this application.
JLS	15	Co-pending U.S. Application No. 10/441,319, filed 5/16/03, titled Cannula for receiving surgical instruments, and assigned to the assignee of this application.
JLS	16	Co-pending U.S. Application No. 10/440,002, filed 5/16/03, titled Cannula for receiving surgical instruments, and assigned to the assignee of this application.
JLS	17	Co-pending U.S. Application No. 10/440,278, filed 5/16/03, titled Cannula for receiving surgical instruments, and assigned to the assignee of this application.
JLS	18	Co-pending U.S. Application No. 10/439,979, filed 5/16/03, titled Cannula for receiving surgical instruments, and assigned to the assignee of this application.

EXAMINER	/James L Swiger/ (07/23/2006)	DATE CONSIDERED	07/23/2006
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
JLS	19	Co-pending U.S. Application No. 10/439,385, filed 5/16/03, titled Cannula for receiving surgical instruments, and assigned to the assignee of this application.
JLS	20	Co-pending U.S. Application No. 10/435,730, filed 5/9/03, titled Method for performing a surgical procedure and a cannula for use in performing the surgical procedure, and assigned to the assignee of this application.
JLS	21	Co-pending U.S. Application No. 10/713,820, filed 11/14/03, titled Cannula for receiving surgical instruments, and assigned to the assignee of this application.
JLS	22	Co-pending U.S. Application No. 10/686,063, filed 10/15/03, titled Cannula for receiving surgical instruments, and assigned to the assignee of this application.
JLS	23	Co-pending U.S. Application No. 10/686,154, filed 10/15/03, titled Method of securing vertebrae, and assigned to the assignee of this application.
JLS	24	Co-pending U.S. Application No. 10/658,736, filed 9/09/03, titled Methods and apparatuses for treating the spine through an access device, and assigned to the assignee of this application.
JLS	25	Co-pending U.S. Application No. 09/772,605, filed 1/31/01, titled Method for performing a surgical procedure and a cannula for use in performing the surgical procedure, and assigned to the assignee of this application.
JLS	26	Response to Office Action, mailed February 2, 2004, for U.S. Application No. 09/772,605, filed 1/31/01.

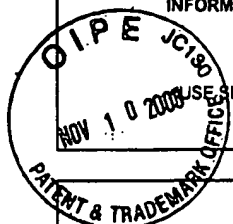
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
JLS	1	US 1.170.324	2/1/16	Pomerene			
	2	US 3.044.461	7/17/62	Murdock			
	3	US 3.503.398	3/31/70	Fogarty et al.			
	4	US 3.789.852	2/5/74	Kim et al.			
	5	US 4.449.532	5/22/84	Storz			
	6	US 4.451.256	5/29/84	Weigl et al.			
	7	US 4.545.374	10/8/85	Jacobson			
	8	US 4.601.713	7/22/86	Fuqua			
	9	US 4.716.901	1/5/88	Jackson			
	10	US 4.863.133	9/5/89	Bonnell			
	11	US 4.899.729	2/ /90	Gill et al.			
	12	US 4.921.478	5/1/90	Solano et al.			
	13	US 4.984.564	1/15/91	Yuen			
	14	US 5.139.511	8/18/92	Gill et al.			
	15	US 5.163.949	11/17/92	Bonutti			
	16	US 5.190.561	3/2/93	Graber			
	17	US 5.196.023	3/23/93	Martin			
	18	US 5.197.971	3/30/93	Bonutti			
	19	US 5.295.994	3/22/94	Bonutti			
	20	US 5.312.417	5/17/94	Wilk			
	21	US 5.313.962	5/24/94	Obenchain			
	22	US 5.354.302	10/11/94	Ko			
	23	US 5.370.647	12/6/94	Graber et al.			
	24	US 5.370.659	12/6/94	Sakashita			
	25	US 5.417.203	5/23/95	Tovey et al.			
	26	US 5.443.479	8/22/95	Bressi, Jr.			
	27	US 5.439.464	8/8/95	Shapiro			
JLS	28	US 5.454.365	10/3/95	Bonutti			

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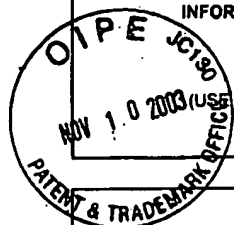
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JLS	29	US 5.490.819	2/13/96	Nicholas et al.			
	30	US 5.529.571	6/25/96	Daniel			
	31	US 5.601.590	2/11/97	Bonutti et al.			
	32	US 5.667.520	9/16/97	Bonutti			
	33	US 5.690.606	11/25/97	Slotman			
	34	US 5.707.359	1/13/98	Bufalini			
	35	US 5.762.629	6/ /98	Kambin			
	36	US 5.782.919	7/21/98	Zdeblick et al.			
	37	US 5.792.044	8/11/98	Foley et al.			
	38	US 5.795.289	8/18/98	Wytenbach			
	39	US 5.827.319	10/27/98	Carlson et al.			
	40	US 5.851.214	12/22/98	Larsen et al.			
	41	US 5.902.231	5/11/99	Foley et al.			
	42	US 5.954.635	9/21/99	Foley et al.			
	43	US 5.961.499	10/5/99	Bonutti et al.			
	44	US 5.976.161	11/2/99	Kirsch et al.			
	45	US 5.997.508	12/7/99	Lunn			
	46	US 6.120.437	9/19/00	Yoon et al.			
	47	US 6.126.671	10/3/00	Richards et al.			
	48	US 6.152.871	11/ /00	Foley et al.			
	49	US 6.162.236	12/19/00	Osada			
	50	US 6.171.299 B1	1/9/01	Bonutti			
	51	US 6.187.000	2/13/01	Davison et al.			
	52	US 6.312.443 B1	11/6/01	Stone			
	53	US 6.338.730 B1	1/15/02	Bonutti et al.			
	54	US 6.371.968 B1	4/16/02	Kogasaka et al.			
	55	US 6.383.195 B1	5/7/02	Richard			
JLS	56	US 6.494.893 B2	12/17/02	Dubrui et al.			

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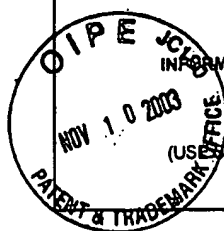
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JLS	57	US 6.524.320	2/25/03	DiPoto			
	58	US 2002/0002360 A1	1/3/02	Orth et al.			
	59	US 2003/0009130 A1	1/9/03	Stecker et al.			
JLS	60	US 2003/0014068 A1	1/16/03	Bonutti			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
JLS	61	EP 0807415 A2	19/11/97	EPO				
JLS	62	WO 95/22285	8/24/95	PCT	A61B	10/00		X
JLS	63	WO 01/54560 A2	2/8/01	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
JLS	64	Ditsworth, David A., M.D., <i>Surg Neurol</i> , 49: 588-598, 1998 "Endoscopic Transforaminal Lumbar Discectomy and Reconfiguration: A Postero-lateral Approach into the Spinal Canal"
	65	Endius Marketing Bulletin, 2002, Atavi Atraumatic Spine Fusion System "How do I decompress using Atavi System?"
	66	Endius Marketing Bulletin, 2002, Atavi Atraumatic Spine Fusion System "Minimally Invasive Update on Danek"
	67	Foley, Kevin T., M.D., Gupta, Sanjay K., M.D., Justis, Jeff R., B.S., Sherman, Michael C., M.S., <i>Neurosurg Focus</i> , 10: 1-8, 2001 "Percutaneous pedicle screw fixation of the lumbar spine"
	68	Guiot, Bernard H., M.D., Khoo, Larry T., M.D., Fessler, Richard G., M.D., Ph.D., <i>SPINE</i> , 27, 4: 432-438, 2002 "A Minimally Invasive Technique for Decompression of the Lumbar Spine"
	69	Kambin, Parviz, Publisher Unknown, Chapter 77, 1055-1066, Date Unknown "Arthroscopic Lumbar Interbody Fusion"
	70	Kambin, Parviz, <i>The Adult Spine: Principles and Practice</i> , 2 nd edition; Lippincott-Raven, 2037-2046, 1997 "Arthroscopic Lumbar Intervertebral Fusion"
	71	Kambin, Parviz, <i>The Mount Sinai Journal of Medicine</i> , 58, 2: 159-164, 1991 "Arthroscopic Microdiscectomy"
JLS	72	Kambin, Parviz, <i>Operative Arthroscopy, Second Edition</i> , 89:1215-1225, 1996 "Arthroscopic Techniques for Spinal Surgery"

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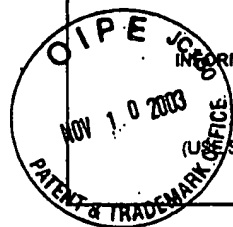
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	73 Kambin, Parviz, <i>Neurosurgery Clinics of North America</i> , 7(1): 65-76, 1996 "Diagnostic and Therapeutic Spinal Arthroscopy"
JLS	74 Kambin, Parviz, Publisher Unknown, Chapter 9:117-121, Date Unknown "Posterolateral Percutaneous Lumbar Interbody Fusion"
	75 Kambin, Parviz, <i>Advances in Operative Orthopaedics</i> , 3:147-171, 1995 "The Role of Minimally Invasive Surgery in Spinal Disorders"
	76 Medtronic Sofamor Danek, <i>METRx MicroEndoscopic Discectomy</i> , 1999 "An Evolution in Minimally Invasive Spine Surgery"
	77 Medtronic Sofamor Danek, <i>METRx MicroDiscectomy System</i> , 2000 "The Next Step in Minimally Invasive Discectomy Utilizing The Operating Microscope"
	78 Medtronic Sofamor Danek, <i>METRx Microdiscectomy Surgical Technique</i> , 2001 as described by: Donald L. Hilton, Jr., M.D., F.A.C.S. and Sylvain Palmer, M.D., F.A.C.S.
	79 Medtronic Sofamor Danek, <i>Orthopedics Today</i> , 1-20, 2002 "Minimal Access Spinal Technologies"
JLS	80 Stauber, Martin H., M.D., Bassett, George S., M.D., <i>SPINE</i> , 19, 1: 57-61, 1994 "Pedicule Screw Placement With Intraosseous Endoscopy"

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